



Heywell's Docket No. 30-4785 (4780)  
Practitioner's Docket No. 100595.0003US1

#11

PATENT

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FEB 28 2003  
GROUP 1700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: John Sikonia

Application No.: 09/741,634

Group No.: 1771

Filed: December 19, 2000

Examiner: L. Roche

For: LAYERED DIELECTRIC NANOPOROUS MATERIALS AND METHODS OF PRODUCING  
SAME

Box DD  
Assistant Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
BEFORE MAILING DATE OF EITHER A FINAL ACTION  
OR NOTICE OF ALLOWANCE (37 C.F.R. section 1.97(c))

TIME OF TRANSMITTAL OF ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT

1. The information disclosure statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in Section 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last but *before* the mailing date of either

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. section 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

MAILING



deposited with the United States Postal Service  
with sufficient postage as first class mail in an  
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Commissioner for Patents, Washington, D.C.  
20231.

FACSIMILE



transmitted by facsimile to the Patent and  
Trademark Office.

Date: February 20, 2003

  
Kristin J. Azcona

- (1) a final action under section 1.113 or
- (2) a notice of allowance under section 1.311

whichever occurs first.

#### **FEE**

2. Accompanying this transmittal is the fee for submission of an information disclosure statement under section 1.97(c). (\$180.00)

#### **FEE PAYMENT**

3. Applicant elects the option to pay the fee set forth in 37 C.F.R. section 1.17(p) for submission of an information disclosure statement under section 1.97(c) (\$180.00).

Fee due \$ 180.00

#### **METHOD OF PAYMENT OF FEE**

4. Attached is a check in the amount of \$ 180.00.

If any additional fees are due, please charge Account 502191.

Date: February 20, 2003



Sandra P. Thompson  
Rutan & Tucker, LLP  
611 Anton Blvd., 14<sup>th</sup> Floor  
Costa Mesa, CA 92626  
USA



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Practitioner's Docket No. 100595.0003US1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231**

In re application of: **John Sikonia**

Serial No: **09/741,634**

Filed: **December 19, 2000**

For: **Layered Dielectric Nanoporous  
Materials and Methods of Producing  
Same**

Group: **1771**

Examiner: **L. Roche**

**RECEIVED**

**FEB 28 2003**

**GROUP 1700**

**INFORMATION DISCLOSURE STATEMENT**

Box IDS  
Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the United States Patent and Trademark Office of all references coming to the attention of the Applicant(s) or attorneys or agents for Applicant(s) which are or may be material to the examination of the subject application, attorneys for the Applicant(s) hereby invite the Examiner's attention to the references listed in the accompanying PTO Form 1449 entitled "List of References Cited".

This submission is understood to complement the results of the Examiner's own independent search. The submission of this Disclosure Statement should not be construed as a representation that a search was made, or that the cited items are inclusive of all relevant and material citations that may be available publicly.

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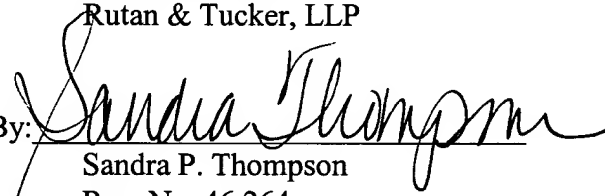
The citation of any item is not an admission that the item is "prior art" against the subject application. The right is reserved to antedate any item according to standard procedures.

Applicant(s) respectfully request that the Examiner review the foregoing references, as set forth in the Form PTO-1449, and that they be made of record in the file history of the above-captioned application.

Respectfully submitted,  
Rutan & Tucker, LLP

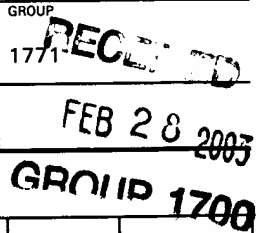
Dated: February 20, 2003

By:

  
Sandra P. Thompson  
Reg. No. 46,264

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<b>LIST OF REFERENCES CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>	ATTY. DOCKET NO.	SERIAL NO.
	30-4785 (4780)	09/741634
	APPLICANT John Sikonia	
	FILING DATE	GROUP
	December 19, 2000	1771



**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,382,684	01/17/95	Moini, et al.	564	281	07/06/93
	BB	5,955,140	09/21/99	Smith, et al.	427	96	11/14/96
	CC	6,077,792	06/20/00	Farrar	438	780	07/14/97
	DD	6,090,724	07/18/00	Shelton, et al.	438	781	12/15/98
	EE	6,093,636	07/25/00	Carter, et al.	438	623	07/08/98
	FF						
	GG						
	HH						
	II						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	JJ	EP 0755957						
	KK	0333132						
	LL	WO 98/47177						
	MM							
	NN							

**OTHER REFERENCES** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	OO	PCT International Search Report
	PP	
	QQ	

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not